

PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.

1. Agency/Subagency originating request	2. OMB control number b. <input type="checkbox"/> None a. _____ - _____
3. Type of information collection (<i>check one</i>) a. <input type="checkbox"/> New Collection b. <input type="checkbox"/> Revision of a currently approved collection c. <input type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change, of a previously approved collection for which approval has expired e. <input type="checkbox"/> Reinstatement, with change, of a previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without an OMB control number For b-f, note Item A2 of Supporting Statement instructions	4. Type of review requested (<i>check one</i>) a. <input type="checkbox"/> Regular submission b. <input type="checkbox"/> Emergency - Approval requested by ____ / ____ / ____ c. <input type="checkbox"/> Delegated 5. Small entities Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input type="checkbox"/> No 6. Requested expiration date a. <input type="checkbox"/> Three years from approval date b. <input type="checkbox"/> Other Specify: ____ / ____
7. Title	
8. Agency form number(s) (<i>if applicable</i>)	
9. Keywords	
10. Abstract	
11. Affected public (<i>Mark primary with "P" and all others that apply with "x"</i>) a. <input type="checkbox"/> Individuals or households d. <input type="checkbox"/> Farms b. <input type="checkbox"/> Business or other for-profit e. <input type="checkbox"/> Federal Government c. <input type="checkbox"/> Not-for-profit institutions f. <input type="checkbox"/> State, Local or Tribal Government	12. Obligation to respond (<i>check one</i>) a. <input type="checkbox"/> Voluntary b. <input type="checkbox"/> Required to obtain or retain benefits c. <input type="checkbox"/> Mandatory
13. Annual recordkeeping and reporting burden a. Number of respondents _____ b. Total annual responses _____ 1. Percentage of these responses collected electronically _____ % c. Total annual hours requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____	14. Annual reporting and recordkeeping cost burden (<i>in thousands of dollars</i>) a. Total annualized capital/startup costs _____ b. Total annual costs (O&M) _____ c. Total annualized cost requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____
15. Purpose of information collection (<i>Mark primary with "P" and all others that apply with "X"</i>) a. <input type="checkbox"/> Application for benefits e. <input type="checkbox"/> Program planning or management b. <input type="checkbox"/> Program evaluation f. <input type="checkbox"/> Research c. <input type="checkbox"/> General purpose statistics g. <input type="checkbox"/> Regulatory or compliance d. <input type="checkbox"/> Audit	16. Frequency of recordkeeping or reporting (<i>check all that apply</i>) a. <input type="checkbox"/> Recordkeeping b. <input type="checkbox"/> Third party disclosure c. <input type="checkbox"/> Reporting 1. <input type="checkbox"/> On occasion 2. <input type="checkbox"/> Weekly 3. <input type="checkbox"/> Monthly 4. <input type="checkbox"/> Quarterly 5. <input type="checkbox"/> Semi-annually 6. <input type="checkbox"/> Annually 7. <input type="checkbox"/> Biennially 8. <input type="checkbox"/> Other (describe) _____
17. Statistical methods Does this information collection employ statistical methods <input type="checkbox"/> Yes <input type="checkbox"/> No	18. Agency Contact (person who can best answer questions regarding the content of this submission) Name: _____ Phone: _____

19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

NOTE: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention period for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
 - (i) Why the information is being collected;
 - (ii) Use of information;
 - (iii) Burden estimate;
 - (iv) Nature of response (voluntary, required for a benefit, mandatory);
 - (v) Nature and extent of confidentiality; and
 - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee

Date

Agency Certification (signature of Assistant Administrator or head of MB staff for L.O.s, or of the Director of a Program or Staff Office)	
Signature	Date
Signature of NOAA Clearance Officer	
Signature	Date

SUPPORTING STATEMENT
FOR OMB CLEARANCE OF A NEW INFORMATION COLLECTION
TO SUPPORT A COST RECOVERY PROGRAM

INTRODUCTION:

The Magnuson-Stevens Act (MSA), Section 304 (d) (2), requires the Secretary of Commerce to implement a IFQ Cost Recovery Plan (Plan) to recover the management and enforcement costs of the Alaska Individual Fishing Quota (IFQ) program. The chief objectives of the Plan is to recover these management and enforcement costs, to do so in a manner that is equitable, effective, and efficient, and to avoid further delays in implementing the Plan.

1. Why the information collection is necessary

The IFQ Program allocates to persons a privilege to harvest halibut and sablefish. Its management and enforcement has certain costs that at this time are being paid through the general budget process. The Plan is being developed to allow the recipients of these privileges to pay for these costs. The information collection is necessary to collect these fees.

2. How the information will be used

IFQ permit holders will pay fees based on a percentage of the ex-vessel value of IFQ landings made during a fishing season. For purposes of determining fee liabilities under the Plan, the ex-vessel value of an IFQ permit holder's IFQ landings may be based either on actual ex-vessel value or on standard ex-vessel value. Actual ex-vessel value is defined as the value received from the sale of halibut or sablefish. Standard ex-vessel value is defined as the value of IFQ landings as determined using the appropriate NMFS standard price according to species, landing location and date. For fee liabilities based on actual ex-vessel value, IFQ permit holders must provide to NMFS the actual value received for their landings, the calculation of their corresponding fees, and (if requested by NMFS) evidence supporting the actual ex-vessel value determination. Landings with actual ex-vessel values represent approximately 85% of all IFQ landings.

For identification purposes, NMFS requests the social security number (SSN) of IFQ permit holders and IFQ registered buyers on the IFQ Fee Submission Form and IFQ Registered Buyer Report, respectively. These persons frequently change addresses, alternating between summer and winter addresses. Additionally, several of these persons are family members living in the same household and often with very similar or same names. NMFS Person ID Number was introduced this year to the IFQ program to help avoid confusion in identifying such persons. However, it is a new initiative and will not be included in all programs until next year. In the short-term, because it is an unfamiliar concept, it is possible that many persons will not fill out this number initially. NMFS is requesting persons to provide their social security number, a very familiar concept, to help dramatically reduce the potential for identifying persons inaccurately. Until the idea of a NMFS Person ID Number is firmly implanted, the SSN will be the most reliable identifier.

Some IFQ landings do not have an actual ex-vessel value. For example, it is not uncommon for some IFQ fish to be retained as take-home fish. For these landings, NMFS will establish a list of standard ex-vessel prices to be used in the calculation of fees.

To collect the information necessary to receive fees from permit holders, NMFS is using two forms:

The **IFQ Permit Holder Fee Submission Form** (Form) is used by IFQ permit holders to calculate and submit fees. This Form is made up of an IFQ Permit Holder Identification section, a Fee Calculation section, and a Fee Payment section. An IFQ Landing Summary and Fee Estimate will be provided by NMFS to IFQ permit holders and will report the IFQ permit holder's name, NMFS person ID number, and the IFQ permit number. It will provide a summary of IFQ landings by date, IFQ regulatory area, amount of pounds landed, NMFS port group, appropriate NMFS standard price, ex-vessel value by landing, and an estimated total fee liability by IFQ permit.

The ex-vessel value, as indicated by NMFS (based on standard prices) or as calculated by the IFQ permit holder (based on actual ex-vessel transactions), will be recorded on the Fee Calculation section by the IFQ permit holder for fee calculation. Final payment is made by IFQ permit holders by following the instructions on the Fee Payment section. To summarize, the IFQ Permit Holder Identification section, the Fee Calculation section and the Fee Payment section, together make up the IFQ Permit Holder Fee Submission Form which require information collection from IFQ permit holders who make IFQ landings in a given IFQ fishing year.

Prepayments of fees can be voluntarily sent to NMFS before fees are due. This requires the name of the respondent submitting the prepayment, the NMFS Person ID number that the prepayments should be applied towards, and the prepayment.

In order to create the standard ex-vessel prices to be used in the calculation of ex-vessel values, NMFS will use information provide on the **IFQ Registered Buyer Ex-vessel Value and Volume Report**. This report will be completed by IFQ registered buyers that operate as shoreside processors and receive and purchase landings of IFQ fish from IFQ permit holders. These registered buyers will provide details regarding IFQ landings received by month and the value paid to IFQ permit holders for those landings. This information will enable NMFS to develop standard prices based on the location (regionality) and timing (seasonality) of the landings.

The following information must be supplied by IFQ permit holders in the Form:
IFQ Permit Holder Fee Submission Form

- (1) Identification of IFQ permit holder section
 - (a) name of IFQ permit holder
 - (b) NMFS Person ID number
 - (c) SSN or tax ID number
 - (d) business mailing address
 - (e) phone/fax number
 - (f) e-mail address (if any)
- (2) Fee Calculation section
 - (a) name of IFQ permit holder
 - (b) NMFS Person ID number
 - (c) permit number(s)
 - (d) fee liability(s) by permit number
 - (e) sub-total fee liability for all permits
 - (f) value received for price adjustment payments from preceding season by species
 - (g) multiplication of (f) by annual fee %
 - (h) sub-total fee for price adjustments
 - (i) total annual fee amount (adding (e) and (h))
- (3) Fee Payment section
 - (a) total annual fee calculated amount
 - (b) total prepayment amounts (if any)
 - (c) balance due of total fees
 - (d) total fees enclosed payment
 - (e) if "balance due" (c) is negative, then selection between a refund or applying overpayment to future fee liability
 - (f) printed name of IFQ permit holder
 - (g) signature of IFQ permit holder or representative
 - (h) date
 - (i) signature and stamp of Notary Public and date commission expires

The following information must be supplied by IFQ registered buyers that are shoreside processors:

IFQ Cost Recovery Plan Registered Buyer Landings/Value Report

- (1) Identification of registered buyer
 - (a) name of registered buyer
 - (b) registered buyer number
 - (c) SSN or tax ID number
 - (d) NMFS Person ID number (if applicable)
 - (e) business mailing address
 - (f) business phone/fax number

- (g) facility or vessel location (port location)
 - (h) registered buyer activity; primary and any other
- (2) Pounds purchased/value paid
 - (a) amount of IFQ pounds purchased by month of fishing year
 - (b) value of such IFQ pounds (a) landed
- (3) Pounds purchased/value paid for landings made during October – December from previous year
 - (a) amount of IFQ pounds purchase by month
 - (b) value of such IFQ pounds (a) purchased
- (4) Value of price adjustment payments made in the current year for previous year landings
 - (a) month payment was made in the current year
 - (e) value of price adjustment payments made by month (a), the corresponding amount of IFQ pounds purchased and the landing period within which such landings occurred the previous year.
- (5) Certification of registered buyer
 - (a) printed name of IFQ registered buyer
 - (b) signature of IFQ registered buyer or representative and date

IFQ permit holders can appeal the fee liabilities. No specific information requirements are detailed in the rule, but if requested by NMFS, the respondent would have to provide evidence proving his or her fee liability claim.

3. Consideration of the use of improved information technology to reduce burden

There has been careful consideration given to the use of electronic submission for both price/value information at time of IFQ landings, and the use of credit cards for fee payment. However, due to budgetary restraints, the implementation of this improved information technology will not be realized in the short-term. It is possible to explore these possibilities during a future budgetary cycle.

There are plans, however, to provide printable versions of these forms, a list of current Standard Ex-vessel prices, and the IFQ Cost Recovery Plan Annual Report on the Internet.

4. Efforts to identify duplication

The Fee Submission Form is unique to the Plan. As a result, there is no duplication with other information collection on prices obtained. NMFS does have information on the name, address, etc. of IFQ permit holders, but NMFS finds that these addresses change during the year and it is essential to have the accurate address at the time of submission.

5. Methods to minimize the burden on small entities

NMFS is attempting to make the forms as simple and straightforward as possible for this Plan. They are unlikely to create a burden for any person including small entities. Because no burden is anticipated, no methods are being developed to minimize the burden.

6. Consequences to the Federal program activities if the collection were conducted less frequently

There would be no Plan if there were not annual collection of fees. NMFS proposes to require the Fee Submission Form due on an annual basis. This is the minimum amount of fee return collection needed to have a successful Plan.

7. Special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines

There is one circumstance that will require the collection of information that is inconsistent with OMB guidelines. The **IFQ Registered Buyer Exvessel Value and Volume Report** will be submitted annually by registered buyers and will include landings and value information up to September 30th of each calendar year. The report will be submitted to RAM on or before October 15th of the same year. This 15 day period is inconsistent with the OMB guideline of 30 days. Justification for this short reporting time is described below.

In order to calculate the most accurate and timely standard ex-vessel prices, RAM must have landings/value information from the latest date possible while still allowing enough time to create the price list, and to make it available to IFQ permit holders for fee calculation.

During the design of the program in fee collection workgroup meetings, registered buyers and their representatives expressed the ability to complete and submit this form by the October 15th deadline. It was their willingness and the programmatic need for the information in a timely manner that caused NMFS to justify this inconsistency with OMB guidelines.

8. Public comment or consultation on the information collection

Public testimony will be heard on all aspects of the Plan during the time it will be discussed at council meetings and with a council appointed work group. Opportunity for public comment is assured through the 45-day public comment period for the proposed rule to implement the Plan.

9. Payment or gift to respondents

No payment or gift will be provided under this Plan. This program collects information which enables NMFS to collect fees from the respondents. Other than cases of over-payments, there are no plans to return payments or entitlements.

10. Assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy

The information collected is confidential under section 402 (b) of the Magnuson Act (16 U.S.C. 1801 *et seq.*) . It is also confidential under NOAA Administrative Order 216.100, which sets forth procedures to protect confidentiality of fishery statistics. These procedures have been implemented under NMFS Operations Manual entitled “Data Security Handbook for the Northwest-Alaska Region, National Marine Fisheries Service.”

11. Collection of information of a private and sensitive nature

Information collected for the Plan does not include information of a sensitive nature.

12. Estimate in hours of the burden of the information collection

Two new sets of information will be required under the CRP. This will be accomplished by the following two forms:

IFQ Permit Holder Fee Submission Form:

This form will allow an IFQ permit holder to calculate and submit fees to NMFS.

NMFS estimates that this form will be submitted annually by 4000 IFQ permit holders. Compiling the necessary data, reading the instructions, completing the form, and preparing the payment of fees is estimated to take 2 hours for each IFQ permit holder. Some of the necessary data should be readily available in records kept by the IFQ permit holder, many of which will be computerized. Total cost to all IFQ permit holders is estimated to be \$200,000

Cost summary

Number of IFQ permit holders.....	4000
Estimated completion time per IFQ permit holder	2 hours
Total time	
(4000 permit holders x 2 hours each).....	8000 hours
Cost per hour.....	\$25.00
Total cost to all IFQ permit holders	
(8000 hours x \$25 per hour).....	\$200,000

IFQ Registered Buyer Ex-vessel Value and Volume Report:

This report will provide the information needed for NMFS to establish standard ex-vessel values for halibut and sablefish.

NMFS estimates that this form will be submitted annually by 100 IFQ registered buyers. Compiling the necessary data, reading the instructions, completing the form, and preparing the report is estimated to take 2 hours for each IFQ registered buyer. Some of the necessary data should be readily available in records kept by the IFQ registered buyer, many of which will be computerized. Total cost to all IFQ registered buyers is estimated to be \$5000.

Cost summary

Number of IFQ registered buyers.....	100
Estimated completion time per IFQ registered buyer.....	2 hour
Total time	
(100 IFQ registered buyer x 2 hour each).....	200 hours
Cost per hour.....	\$25.00
Total cost to all IFQ registered buyers	
(200 hours x \$25 per hour).....	\$5000

Appeals:

In addition to the two forms, appeals may be filed.

Number of appeals	~25
Estimated completion time per appeal.....	2 hours
Total time	
(25 IFQ permit holders x 2 hours each).....	50 hours
Cost per hour.....	\$25.00
Total cost to all IFQ permit holders	
(50 hours x \$25 per hour).....	\$1250

Prepayment of Fees:

There will be some respondents making prepayment of fees.

Number of prepayments submitted.....	~300
Estimated completion time per prepayment submission.....	½ Hour
Total time	
(300 IFQ permit holders x ½ hours each).....	150 hours
Cost per hour.....	\$25.00
Total cost to all IFQ permit holders	
(150 hours x \$25 per hour).....	\$3750

Summary table:

Total Respondents	Total # of Responses	# of Burden Hours
4100	4425	8400

13. Estimate of the total annual cost burden to respondents

There will be an annual cost burden to respondents based on making photo copies of forms, permit holders notarizing fee returns, registered buyers faxing Landing/Value reports to NMFS, and the mailing costs for all forms. The following table indicates these costs and is followed by specific cost descriptions:

	PHOTO-COPIES	FAXING	NOTARIZING	MAILING	RECORD RETENTION
PERMIT HOLDERS	\$2400	N/A	\$12,000	\$2322	Minimal
REGISTERED BUYERS	\$40	\$300	N/A	\$54	Minimal
TOTAL COST TO RESPONDENTS					\$17,116

Photocopies: NMFS assumes the average photocopy to cost \$ 0.10. There will be photocopies made for all fee collection forms sent to NMFS.

Faxing: NMFS assumes a cost of \$3.00 per fax. Registered buyers will be able to either mail the Landing/Value report, or fax it to NMFS.

Notary costs: NMFS assumes the average notary cost to be \$3.00 per notarized signature. Permit holders must have signatures notarized for each fee return.

Mailing costs: NMFS assumes the average cost for mailing fee returns, landing/value reports, and prepayments to be \$.54 per submission.

Telephone cost: NMFS provides a toll-free number that can be used by both permit holders and registered buyers. As a result, no phone costs have been added to the annual cost for respondents.

14. Estimates of annualized cost to the Federal government

NMFS will be required to review fee returns for fee return respondents. The administrative procedures--review, processing, and verification of information provided are expected to take 1 hour for the IFQ Cost Recovery Program Fee Form and 4 hours for the IFQ Cost Recovery Program Registered Buyer Landings/Value Report.

Cost and Time to Federal Government:

IFQ Cost Recovery Program Fee Form:

Number of fee returns annually.	4000
NMFS processing time for each return.	1 hour
Annual NMFS time requirement	
(4000 fee returns x 1 hour each).	4000
Cost per hour.	\$25.00
Annual cost to Federal government	
(4000 hours x \$25 per hour).	\$100,000

Prepayment of fees:

Number of prepayments annually.	~300
NMFS processing time for each prepayment.	½ hours
Annual NMFS time requirement	
(300 prepayments x ½ hour each).	150 hours
Cost per hour.	\$25.00
Annual cost to Federal government	
(150 hours x \$25 per hour).	\$3750

IFQ Cost Recovery Program Registered Buyer Landings/Value Report:

Number of reports returns annually.	100
NMFS processing time for each report	4 hours
Annual NMFS time requirement	
(100 fee returns x 4 hours each).	400
Cost per hour.	\$25.00
Annual cost to Federal government	
(400 hours x \$25 per hour).	\$10,000

15. Changes/adjustments reported in Items 13 or 14 of OMB 83-I

The burden estimates are made for new information collections that are included as part of the proposed rule to implement the Plan.

16. Plans for tabulation and publication

Some of the information collected will be tabulated and published in the **IFQ Cost Recovery Program Annual Report**. Information tabulated may contain, but is not limited to the following: Total amount of fees, ex-vessel values and landings, number of permit holders, number of permit holders using actual prices, number of permit holders using standard prices, and number and description of un-paid fees and payment disputes.

17. Display of the expiration date for OMB approval of information collection

In accordance with OMB requirements, the control number and the expiration date of OMB approval will be shown on the fee collection forms.

18. Exceptions to certification for Paperwork Reduction Act submission

No exceptions to the certification statement exist.

**IFQ PERMIT HOLDER FEE
SUBMISSION
FORM FOR 2000**

U.S. Dept. of Commerce/NOAA
National Marine Fisheries Service (NMFS)
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, AK 99802-1668



BLOCK A - IDENTIFICATION OF IFQ PERMIT HOLDER

1. Name of IFQ Permit Holder:	2. NMFS Person ID #:
3. SSN or Tax ID Number*:	4. E-mail Address: (if any)
5. Business Mailing Address: Permanent <input type="checkbox"/> Temporary <input type="checkbox"/>	6. Telephone Number:
	7. FAX Number:

*Privacy Act Statement: Federal regulation (at 50 CFR Part 679) authorize collection of this information. This information is used to verify the identity of the IFQ permit holder(s) and to accurately retrieve confidential records related to Federal commercial fishery permits. Where the requested information is a Social Security Number (SSN) disclosure is voluntary; in the event it is not provided, NMFS will assign a unique code that will identify the records.

FEE CALCULATION INSTRUCTIONS

NOTE: ALL FEES ARE DUE BY JANUARY 31 OF THE YEAR FOLLOWING IFQ LANDINGS. PAYMENT OF IFQ FEE LIABILITY IS A CONDITION OF POSSESSING A VALID IFQ PERMIT; FAILURE TO PAY YOUR IFQ FEE LIABILITY WILL MAKE INVALID ANY IFQ PERMIT YOU POSSESS.

GENERAL INSTRUCTIONS

As an IFQ permit holder, you must use this IFQ Fee Submission Form (form) to record your fee liability for landings made on your IFQ permit(s). Your fee liability for these IFQ fish that are sold can be based either on actual ex-vessel value (based on defensibly documented sale) or on standard ex-vessel value (based on appropriate NMFS standard price). NMFS will provide each IFQ permit holder with an "IFQ Landing Summary and Estimated Fee Liability" for each IFQ permit that is calculated based on appropriate NMFS standard prices.

As an IFQ permit holder, you may base all of your fee payment on the NMFS estimated fee liability and simply complete the sections of this IFQ Fee Submission Form accordingly. However, if you choose, you may calculate a revised fee liability for your IFQ permit(s). Be prepared to prove your claim to the satisfaction of NMFS. A revised fee liability for an IFQ permit is based primarily on actual ex-vessel value. For example, if in the course of an IFQ season some of your landings on an IFQ permit were made having an ex-vessel transaction and some were not (e.g. some of a load sold and some unsold), then a revised fee liability could be calculated for that IFQ permit using a combination of actual ex-vessel value and standard ex-vessel value. Refer to the instructions below for definitions of actual and standard ex-vessel value as they may apply to your IFQ landings for purposes of fee calculation.

FEE BASED ON ACTUAL EX-VESSEL VALUE

Your annual fee will be based on the total ex-vessel value received for all landings made on your IFQ permit(s). Actual ex-vessel value is defined as the U.S. dollar amount of all compensation, monetary or non-monetary, received by an IFQ permit holder for the purchase of his or her IFQ landings described in terms of IFQ equivalent pounds (round weight for sablefish, headed and gutted weight for halibut). If you sold your IFQ fish, you may use actual ex-vessel value to calculate your fee liability for those fish and include this value in a revised fee liability and then use the resulting values to complete the IFQ Cost Recovery Fee Calculation Section. To make final payment, follow the payment instructions found on the Fee Payment Section of this IFQ Fee Submission Form.

FEE BASED ON STANDARD EX-VESSEL VALUE

IFQ landings that are not sold must be calculated using appropriate NMFS **standard ex-vessel prices** as presented in the NMFS standard price list. Use the result to complete the IFQ Cost Recovery Fee Calculation Section. To make payment, follow the payment instructions found on the Fee Payment Section of this IFQ Fee Submission Form.

IFQ COST RECOVERY FEE CALCULATION SECTION FOR THE IFQ COST RECOVERY PROGRAM

Name:

NMFS Person ID#

FEE CALCULATION TABLE

1. PERMIT NUMBER

2. FEE LIABILITY (as determined by NMFS on IFQ Landing Summary & Estimated Fee Liability or as determined from your own calculations)

AGENCY USE

3. SUB-TOTAL: FEE LIABILITY FOR ALL PERMITS

**4.a.
VALUE OF PRICE
ADJUSTMENT
PAYMENTS
(PER SPECIES)**

**4.b.
TOTAL PRICE
ADJUSTMENT
PAYMENT
VALUE
RECEIVED***

**4.c.
MULTIPLY BY
FEE % (3%)**

Halibut

X .03 =

Sablefish

X .03 =

5. SUB-TOTAL: FEE FOR PRICE ADJUSTMENTS

6. TOTAL ANNUAL FEE AMOUNT DUE (SUM OF #3 AND #5)

* This amount should indicate total value received for retro-payments (price adjustments, bonuses, etc) on IFQ landings made during the PREVIOUS YEAR. It should represent the total dollar value of IFQ pounds before any deductions are made for gear and services provided such as bait, ice, fuel, repairs, machinery replacement, etc.

IFQ COST RECOVERY FEE CALCULATION INSTRUCTIONS

FOR THE IFQ COST RECOVERY PROGRAM

(Please enter your name and NMFS ID Number in the spaces provided)

1. Enter IFQ Permit Number for each permit for which a fee liability has been incurred.
2. Enter total Fee Liability for each permit listed in #1. You may use the estimated fee liability calculated by NMFS and provided on the IFQ Landing Summary & Estimated Fee Liability or you may enter your own fee liability as determined by your own calculations using actual ex-vessel values or a combination of NMFS standard prices and actual ex-vessel values. **Be prepared to provide documentation in support of any reported fee liability that differs from that estimated by NMFS.**
3. Add all values entered in column #2 and enter that number in this block.
- 4b Enter (per species) the total value received for price adjustment payments (e.g. retro-payments, bonuses) made on IFQ landings from the PREVIOUS YEAR. Multiply price adjustment payment(s) by the fee percentage (e.g. 3 %) in effect at the time the payment(s) was received.
5. Enter total fee from price adjustments.
6. Enter sum of all Fee Liabilities (add blocks #3 and #5). This is the total fee liability that must be submitted to NMFS. Enter this amount in the TOTAL ANNUAL FEE AMOUNT block on the Fee Payment Section of this IFQ Fee Submission Form.

FEE PAYMENT SECTION

ALL FEES ARE DUE BY JANUARY 31 OF THE YEAR FOLLOWING IFQ LANDINGS. PAYMENT OF IFQ FEE LIABILITY IS A CONDITION OF POSSESSING A VALID IFQ PERMIT; FAILURE TO PAY YOUR IFQ FEE LIABILITY WILL MAKE INVALID ANY IFQ PERMIT YOU POSSESS.

To make payment to NMFS:

1. Enter the total annual fee amount from #6 of the IFQ Cost Recovery Fee Calculation Section in the Total Annual Fee Amount block below.
2. Enter all pre-payments and credits you have made to NMFS that apply to the Total Annual Fee Amount.
3. Subtract from the Total Annual Fee Amount all pre-payments and credits that apply, and enter the result in the **Balance Due**. If the result is less than zero (deficit) you may have overpaid. If it is determined that you have overpaid, after careful review of your IFQ catch records and the information obtained in this Fee Submission Form, NMFS may apply this overpayment toward future fee liabilities you incur while IFQ fishing provided you check the appropriate space below*.
4. Payment methods are limited to personal, certified, cashiers checks, and postal money orders. Make checks payable to "NMFS/AKR."
5. Attach check or money order to this form and send to:

**National Marine Fisheries Service, Alaska Region
Attn. Program Administrator, Restricted Access Management
P.O. Box 21668
Juneau, AK 99802**

TOTAL ANNUAL FEE AMOUNT	
TOTAL PRE-PAYMENTS AND CREDITS (if any)	
BALANCE DUE FOR 2000 Due by January 31, 2001	
ENCLOSED PAYMENT AMOUNT	

***If your estimated "Balance Due" is less than zero (deficit) you must select one of the following options:**

- ☐ **issue refund**
☐ **apply overpayment to future IFQ fee liabilities.**

CERTIFICATION OF IFQ PERMIT HOLDER	
Under penalties of perjury, I declare that I have examined this submission of material, and to the best of my knowledge and belief, the information presented here is true, correct and complete.	
Printed Name of IFQ Permit Holder	
Signature of IFQ Permit Holder:	Date:
Signature and stamp of Notary Public:	Date commission expires:

PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 2.0 hours per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to: NOAA National Marine Fisheries Service, Alaska region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, P.O. Box 21668, Juneau, AK 99802 (Attn: Lori Gravel).

ADDITIONAL INFORMATION

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IFQ REGISTERED BUYER EXVESSEL VALUE AND VOLUME REPORT

U.S. Dept. of Commerce/NOAA
National Marine Fisheries Service (NMFS)
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, AK 99802-1668



BLOCK A - IDENTIFICATION OF REGISTERED BUYER

1. Name of Registered Buyer:	2. Registered Buyer Number:
3. SSN or Tax ID Number*:	4. NMFS Person ID # (If applicable):
5. Business Mailing Address: Permanent <input type="checkbox"/> Temporary <input type="checkbox"/>	6. Business Phone:
7. Business Fax:	8. Facility or vessel location (Port Location):
9. Check the ONE box that BEST describes the PRIMARY Registered Buyer Activity performed this IFQ season: Shoreplant <input type="checkbox"/> Buyer-Broker <input type="checkbox"/> Mothership <input type="checkbox"/> Retail <input type="checkbox"/> Other <input type="checkbox"/> (describe other): _____	10. Please indicate any other Registered Buyer activity performed this IFQ Season: Catcher/Seller <input type="checkbox"/> Catcher/Processor <input type="checkbox"/> Restaurant <input type="checkbox"/> Retail <input type="checkbox"/> Tender <input type="checkbox"/> Other <input type="checkbox"/> (describe other): _____

BLOCK B - POUNDS PURCHASED/VALUE PAID TABLE

1. SPECIES	2. SPECIES CODE	3. MONTH LANDING WAS MADE	4. IFQ POUNDS PURCHASED (IN LBS.)	5. VALUE OF POUNDS PURCHASED (IN \$)*
HALIBUT Headed & Gutted	200	MARCH		
SABLEFISH Round	710	MARCH		
HALIBUT Headed & Gutted	200	APRIL		
SABLEFISH Round	710	APRIL		
HALIBUT Headed & Gutted	200	MAY		
SABLEFISH Round	710	MAY		
HALIBUT Headed & Gutted	200	JUNE		
SABLEFISH Round	710	JUNE		
HALIBUT Headed & Gutted	200	JULY		
SABLEFISH Round	710	JULY		
HALIBUT Headed & Gutted	200	AUGUST		
SABLEFISH Round	710	AUGUST		
HALIBUT Headed & Gutted	200	SEPTEMBER		
SABLEFISH Round	710	SEPTEMBER		

**BLOCK C - VALUE PAID FOR LANDINGS MADE
DURING OCTOBER – DECEMBER OF THE PREVIOUS YEAR**

1. SPECIES	2. SPECIES CODE	3. MONTH LANDING WAS MADE	4. AMOUNT OF IFQ POUNDS PURCHASED	5.VALUE OF POUNDS PURCHASED (IN \$)*
HALIBUT Headed & Gutted	200	OCTOBER		
SABLEFISH Round	710	OCTOBER		
HALIBUT Headed & Gutted	200	NOVEMBER		
SABLEFISH Round	710	NOVEMBER		
HALIBUT Headed & Gutted	200	DECEMBER		
SABLEFISH Round	710	DECEMBER		

**BLOCK D - VALUE PAID FOR PRICE ADJUSTMENT PAYMENTS
MADE FOR LANDINGS FROM PREVIOUS YEAR**

1. SPECIES	2. SPECIES CODE	3. VALUE OF PRICE ADJUSTMENT PAYMENTS* (by month of payment)		4. IFQ POUNDS PURCHASED (by landing period : months)	
HALIBUT (Headed & Gutted)	200	Payment Month	Payment Amount	Lbs. Bought	Landing period
SABLEFISH (Round)	710				

BLOCK E - CERTIFICATION OF IFQ REGISTERED BUYER

Under penalties of perjury, I declare that I have examined this submission of material, and to the best of my knowledge and belief, the information presented here is true, correct and complete.

1. Printed Name of IFQ Registered Buyer:

2. Signature of IFQ Registered Buyer (or authorized representative):

Date:

*This should include the dollar value of purchased IFQ equivalent pounds before any deductions are made for goods and services provided such as bait, ice, fuel, repairs, machinery replacement, etc.

INSTRUCTIONS:

GENERAL INFORMATION: All registered buyers that buy IFQ species from fishermen where there are transactions with clear ex-vessel values **MUST** complete this form. Information regarding pounds received having no ex-vessel transaction, e.g. pounds received for custom processing only, should not be included on this form. The information provided on this form will allow NMFS to create standard ex-vessel prices for halibut and sablefish in order to assess fees on IFQ pounds landed. This form must include all pounds and associated value of any purchased IFQ landings made prior to and including **September 30**. Also, provide the value paid for IFQ pounds purchased during the period of October – December of the previous year. Finally, separately provide any value paid as price adjustment payments (retros, marketing bonuses, etc.) for the halibut and sablefish purchased during the previous year. The form must be received by **October 15** at the following address:

Administrator, Alaska Region, NMFS
Attn. RAM Program
PO Box 21668
Juneau AK 99802-1668

Block B: POUNDS PURCHASED/VALUE PAID TABLE (for current year, March -September)

- 1 SPECIES- Halibut or sablefish.
- 2 SPECIES CODE- As provided by NMFS.
- 3 MONTH LANDING WAS MADE- Provide landings/value information by month in which landing was made.
- 4 AMOUNT OF IFQ POUNDS PURCHASED- Enter the total IFQ equivalent pounds purchased for halibut and/or sablefish. For purposes of completing this form, all halibut landings should be expressed in pounds of headed and gutted fish purchased. If halibut is purchased in any other condition, the proper NMFS recovery code should be applied to the purchased pound to figure IFQ equivalent pounds for reporting in this form. Sablefish pounds purchased must be reported on this form as round pound equivalents. If Sablefish is purchased in a dressed condition (e.g. eastern cut), the purchased pounds should be divided by the proper NMFS recovery code to figure round pound equivalents for completing this form.
- 5 VALUE OF POUNDS PURCHASED- By month, enter the total dollar amount paid to fishermen for all IFQ landings to date. This must be the dollar value, including the value of all corresponding in-season retro-payments made later that same year, of IFQ pounds before any deductions are made for goods and services provided such as bait, ice, fuel, repairs, machinery replacement, etc.

Block C: VALUE PAID FOR LANDINGS MADE DURING OCTOBER AND NOVEMBER OF THE PREVIOUS YEAR

- 1 SPECIES- Halibut or sablefish.
- 2 SPECIES CODE- As provided by NMFS.
- 3 MONTH LANDING WAS MADE- Provide landings/value information by month in which landing was made.
- 4 AMOUNT OF IFQ POUNDS PURCHASED- Enter the total IFQ equivalent pounds purchased for halibut and/or sablefish.
- 5 VALUE OF POUNDS PURCHASED- Enter the total dollar amount paid to fishermen for all IFQ landings, by species, received during October and November of the previous year. This should include the dollar value of IFQ pounds before any deductions are made for goods and services provided such as bait, ice, fuel, repairs, machinery replacement, etc.

Block D: VALUE PAID FOR PRICE ADJUSTMENT PAYMENTS FROM PREVIOUS YEAR

- 1 SPECIES- Halibut or sablefish.
- 2 SPECIES CODE- As provided by NMFS.
- 3 AMOUNT OF IFQ POUNDS PURCHASED- Enter the total IFQ equivalent pounds purchased for halibut and/or sablefish.
- 4 VALUE OF PRICE ADJUSTMENT PAYMENTS- Indicated the total amount paid to fishers as retroactive payments for IFQ species purchased during the previous year and that were not included in "value of pounds purchased" in column 5 of Block C.

Privacy Act Statement: Federal regulation (at 50 CFR Part 679) authorize collection of this information. This information is used to verify the identity of the IFQ Registered Buyer(s) and to accurately retrieve confidential records related to Federal commercial fishery permits. Where the requested information is a Social Security Number (SSN) disclosure is voluntary; in the event it is not provided, NMFS will assign a unique code that will identify the records.

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Magnuson-Stevens Fishery Conservation and Management Act

Public Law 94-265

As amended through October 11, 1996

SEC. 304. ACTION BY THE SECRETARY

16 U.S.C. 1854

97-453, 104-297

(d) ESTABLISHMENT OF FEES.--

(1) The Secretary shall by regulation establish the level of any fees which are authorized to be charged pursuant to section 303(b)(1). The Secretary may enter into a cooperative agreement with the States concerned under which the States administer the permit system and the agreement may provide that all or part of the fees collected under the system shall accrue to the States. The level of fees charged under this subsection shall not exceed the administrative costs incurred in issuing the permits.

(2)(A) Notwithstanding paragraph (1), the Secretary is authorized and shall collect a fee to recover the actual costs directly related to the management and enforcement of any--

(i) individual fishing quota program; and

(ii) community development quota program that allocates a percentage of the total allowable catch of a fishery to such program.

(B) Such fee shall not exceed 3 percent of the ex-vessel value of fish harvested under any such program, and shall be collected at either the time of the landing, filing of a landing report, or sale of such fish during a fishing season or in the last quarter of the calendar year in which the fish is harvested.

(C) (i) Fees collected under this paragraph shall be in addition to any other fees charged under this Act and shall be deposited in the Limited Access System Administration Fund established under section 305(h)(5)(B), except that the portion of any such fees reserved under section 303(d)(4)(A) shall be deposited in the Treasury and available, subject to annual appropriations, to cover the costs of new direct loan obligations and new loan guarantee commitments as required by section 504(b)(1) of the Federal Credit Reform Act (2 U.S.C. 661c(b)(1)).

(ii) Upon application by a State, the Secretary shall transfer to such State up to 33 percent of any fee collected pursuant to subparagraph (A) under a community development quota program and deposited in the Limited Access System Administration Fund in order to reimburse such State for actual costs directly incurred in the management and enforcement of such program.